

SON-2198

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Koichiro Kishima et al

Application No.: 09/933,151

Filed: August 21, 2001

For: OPTICAL ELEMENT, METHOD FOR  
PRODUCING THE SAME, AND OPTICAL  
PICKUP



Group Art Unit: 2873

Examiner: Evelyn A. Lester

Conf. No. 6614

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

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- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please charge our Deposit Account No. 18-0013 in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1), as needed to ensure consideration of the disclosed information.
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- ☒ 4. The reference(s) was/were cited on pages 18 and 19 of the specification.
- ☒ 5. In the event any fees are due with this paper, please charge our Deposit Account No. 18-0013.

Respectfully submitted,

Dated: April 28, 2004

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/933,151	
			Filing Date	August 21, 2001	
			First Named Inventor	Koichiro Kishima	
			Art Unit	2873	
			Examiner Name	E. A. Lester	
Sheet	1	of	1	Attorney Docket Number	Son-2198

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	"Usefulness of Magnetic Neutral Loop Discharge Plasma in Plasma Processing" Tsuboi, et al., Jpn. J. Appl. Phys. Vol. 34 (1995), pp. 2476-2481	
	CB	"Review of Inductively Coupled Plasmas for Plasma Processing", J. Hopwood, Plasma Sources Sci. Technol. 1, 1992, pp. 109-116.	
	CC	"High Rate and Highly Selective SiO <sub>2</sub> Etching Employing Inductively Coupled Plasma", Fukasawa, et al., Jpn. J. Appl. Phys. Vol. 33, 1994, pp. 2139-2144	
	CD		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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